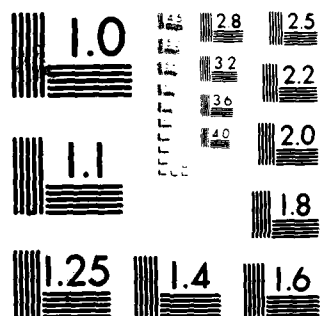


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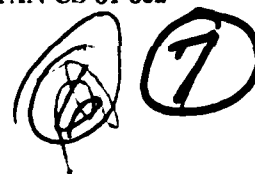
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The Lower Bounds on the Additive Complexity of Bilinear Problems in Terms of Some Algebraic Quantities

by

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**The Lower Bounds on the Additive Complexity of Bilinear Problems
in Terms of Some Algebraic Quantities**

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Abstract. The lower bounds on the additive complexity of a bilinear problem are expressed in terms of the rank of the problem and also as a minimum number of elementary steps for the transformation of the identity matrix into a strongly regular one.

Key Words. Additive complexity, bilinear algorithms, tensor rank.

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As is well known, the basic part of the theory of algebraic computational complexity had been shaped by 1966; cf. [1,2,3]. In particular, until very recently the lower bounds on the additive complexity, $C(\pm)$, of intensively studied linear and bilinear arithmetic algorithms for arithmetic computational problems (such as DFT and matrix and polynomial multiplication, MM, PM) have relied on the active operation-basic substitution argument due to [1,2,3]; cf. also [4]. Consequently, those bounds have not exceeded D , the dimension of the problems that is the total number of input variables and outputs. In the present paper we consider another algebraic approach that generalizes the ingenious method of [5]. This enables us to reduce the problem to estimating the ranks of multidimensional tensors that we associate with the given computational problems. The successful solution of a similar problem in [6] gives some ground for optimism in the attempts to establish nonlinear lower bounds on $C(\pm)$ along this line. We also present another direction to attack the problem which reduces it to the study of a strong regularity of matrices; see Definition 2 and the Theorem below.

Notation. I, J, K are positive integers. $v_h = (\underline{V})_h$, $\mu_{js} = (\mu)_{js}$ are the entry h of a vector \underline{V} and the entry (j, s) of a matrix μ , respectively. F is a field of constants. \underline{X} is a vector of indeterminates, x_i , $i = 0, 1, \dots, I-1$. $L(\underline{X}, F)$ is the set of all homogeneous linear forms of x_0, \dots, x_{I-1} with the coefficients from F .

Any $K \times J$ matrix, $\mu = \mu(\underline{X})$ with the entries from $L(\underline{X}, F)$ defines a bilinear arithmetic problem that is the set of bilinear forms $\{b_k(\underline{X}, \underline{Y})\}$ whose Y -coefficients form the matrix $\mu(\underline{X})$; cf. [7,8]. A bilinear arithmetic algorithm, A , that solves such a problem can be represented as a chain of matrices $(\mu(0), \mu(1), \dots, \mu(C))$ (cf. [5,7,8]) such that $\mu(0)$ is the $J \times J$ identity matrix, μ is a submatrix of $\mu(C)$, each $\mu(q)$ is a $J \times (J+q)$ matrix such that

$$\mu(q+1) = (\mu(q) \mid \underline{V}(q+1)) \quad \text{for } q = 0, 1, \dots, C-1, \quad (1)$$

where for all j either

$$(\underline{V}(q+1))_j = L(q)(\mu(q))_{js} \quad \text{for some } s = s(q) \leq q+J \quad (2)$$

or

$$(\underline{V}(q+1))_j = (\mu(q))_{jp} + \delta(\mu(q))_{js} \\ \text{for some } p = p(q) \leq q+J, \quad s = s(q) \leq q+J. \quad (3)$$

In (3), $\delta = 1$ or $\delta = -1$. In (2) either $L(q) \in F$ or otherwise: $L(q) \in L(\underline{X}, F)$ and $(\mu(q))_{js} \in F$ for $s = s(q)$ and for all j . If $C_A(\pm)$ designates the number of q such that (3) holds.

Definition 1 (cf. [9,10]). Given $P(\underline{X})$, a homogeneous polynomial in x_0, \dots, x_{I-1} of degree d , then $r(P(\underline{X}))$, the rank of $P(\underline{X})$, is the minimum integer $r \geq 0$ such that

$$P(\underline{X}) = \sum_{g=1}^r \prod_{h=1}^d r_{gh}(\underline{X}), \quad L_{gh}(\underline{X}) \in L(\underline{X}, F).$$

Let $D = D(M(\underline{X}))$ designate the set of all minors of a matrix $M(\underline{X})$ with the entries from $L(\underline{X}, F)$, $r(M) = \max_{m \in D} r(m)$. (We say that $r(M)$ is the rank of the bilinear problem associated with the matrix $M(\underline{X})$.) Then the next lemma is easily verified.

Lemma 1. Equation (2) implies that $r(\mu(q+1)) = r(\mu(q))$, Equation (3) implies that $r(\mu(q+1)) \leq 2r(\mu(q))$.

Corollary. Given a bilinear algorithm, A (cf. (1)–(3)), for the bilinear problem defined by a matrix $\mu = \mu(\underline{X})$, then $C_A(\pm) \geq \log_2 r(\mu)$.

Hence, $\log r(\mu) = \Omega(n \log n)$ for the general $n \times n$ Toeplitz matrix ($J = K = n$, $\mu_{jk} = x_{j-k+n-1}$, $j, k = 0, 1, \dots, n-1$) would imply nonlinear lower bounds on the complexity of PM and DFT.

Remark. If $P_n(\underline{X}) = P_n(\underline{X}_1, \dots, \underline{X}_n)$ is an n -linear form in n vectors of indeterminates, $\underline{X}_1, \dots, \underline{X}_n$, then the polylinear rank, $R(P_n(\underline{X}))$, can be defined as the minimum integer R such that

$$P_n(\underline{X}) = \sum_{g=1}^R \prod_{h=1}^n L_{gh}(\underline{X}_h), \quad L_{gh}(\underline{X}_h) \in L(\underline{X}_h, F).$$

As is obvious, $R(P_n(\underline{X})) \geq r(P_n(\underline{X}))$. $R(P_2(\underline{X}_1, \underline{X}_2))$ equals the "usual" rank of the matrix of coefficients of the bilinear form $P_2(\underline{X}_1, \underline{X}_2)$. $R(P_3(\underline{X}_1, \underline{X}_2, \underline{X}_3))$ equals the multiplicative complexity of the three bilinear computational problems associated with $P_3(X_1, X_2, X_3)$, (cf. [11,12]). If $\mu(\underline{X})$ is an $n \times n$ matrix with row-vectors of indeterminates $\underline{X}_1, \underline{X}_2, \dots, \underline{X}_n$, then $\log_2 R(\text{per } \mu(\underline{X})) \leq n$ (cf. [13]). Because of the latter estimate the inequality $\log_2 r(M) > n$ seems to be either false or very hard to prove even in the case of a general $n \times n$ matrix μ .

Despite the latter remark, we hope that the reader will be challenged to look for a better modification of the above approach and for new methods for establishing lower bounds on $C(\pm)$. Here is another example of natural approaches to this problem.

Definition 2. A matrix is strongly regular if it contains no singular submatrix. Given a $J \times s$ matrix μ and a field F then the elementary additive augmentation step consists of adding a new column-vector to μ which is a linear combination with the coefficients from F of two columns of μ . $C_{\pm}(J)$, the regularization number of order J is the minimum number of elementary additive augmentation steps required to transform the $J \times J$ identity matrix into a matrix that has a strongly regular $J \times J$ submatrix.

Theorem. Let Y be the J -dimensional vector of indeterminates, μ be a $J \times J$ matrix over F that has a strongly regular $s \times s$ submatrix. Then the additive complexity of the evaluation of μY is at least $C_{\pm}(s)$.

In particular, the general Toeplitz matrices are strongly regular. Hence any nonlinear lower bound on $C_{\pm}(s)$ would imply a nonlinear lower bound on $C(\pm)$ in the cases of PM and DFT.

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